PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

_	Under the Paperwork Reduction Act of 1995, no	persons are required to respond to a coll	ection of information unless it displays a valid OMB control number.	
	G INAU	Application Number	09/441,936	•
	TRANSMITTAL	Filing Date	November 17, 1999	_
	FORM	First Named Inventor	Gust H. Bardy	
		Art Unit	3762	
	(to be used for all correspondence after initial filing	Examiner Name	Kristen Mullen	
	Total Number of Pages in This Submission 13	Attorney Docket Number	PHA024,314	_

ENCLOSURES (Check all that apply)										
	Fee Attached			Drawing(s) Licensing-related Papers Petition			After Allowance Communication to Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks			Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Receipt Confirmation Postcard				
		SIGNA	TURE	OF APPLICANT, AT	ORNEY, C	R AG	ENT	· · · · · · · · · · · · · · · · · · ·		
Firm Nar										
Signatur	re	W Beten	Jehn	l						
Printed r	name	W. Brinton Yorks, Jr.	<i>V</i>							
Date 10 19/04		Reg. No.			28,923					
CERTIFICATE OF TRANSMISSION/MAILING										
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature										
Typed or	r printed n	ame Fill Peistrup	Y L	ristrup			Date	20 Oct 2004)		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o a collection of information unless it displays a valid OMB control number. tion Act of 1995, no persons are required to res

PP	RA	NS	SMI	TT	4L
fc	or F	Y :	200	5	

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

W. Brinton Yorks, Jr.

Name (Print/Type)

Signature

(\$) 88.00 TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	09/441,936				
Filing Date	11/17/1999				
First Named Inventor	Gust H. Bardy				
Examiner Name	Kristen Mullen				
Art Unit	3762				
Attorney Docket No.	PHA 024,314				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Order Order	Large Entity Small Entity						
Deposit Account 14-1270	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	1051	130	2051	65	Surcharge - late filing fee or oath		
Philips Electronics N.A.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month		
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month		
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee	1255	2,080	2255		Extension for reply within fifth month		
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal		
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal		
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional		
Fee from	1501	1,370	2501	685	Utility issue fee (or reissue)		
Extra Claims below Fee Paid Total Claims 20** = X = 0.00	1502	490	2502	245	Design issue fee		
Independent	1503	660	2503	330	Plant issue fee		
Cidillis Addition Department	1460	130	1460	130	Petitions to the Commissioner		
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection		
1201 88 2201 44 Independent claims in excess of 3 1203 300 2203 150 Multiple dependent claim, if not paid	4040				(37 CFR 1.129(a))		
1204 88 2204 44 ** Reissue independent claims	1810	790	2810		For each additional invention to be examined (37 CFR 1.129(b))		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1801	790	2801		Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 88.00	Other fee (specify)						
**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00						
SUBMITTED BY					(Complete (if applicable))		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

28,923

Telephone 425-487-7152

19/04

Date

PHA024,314



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gust H. Bardy et al.

> Art Unit: 3762

Serial No.: 09/441,936

Examiner: Kristen Mullen

November 17, 1999 Filed

EXTERNAL ATRIAL DEFIBRILLATOR AND METHOD FOR For

PERSONAL TERMINATION OF ATRIAL FIBRILLATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450

Oct 19 (Date of Deposit)

W. Brinton Yorks, Jr. Name of applicant, assignee, or

Registered Representative

(Date of Signature)

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

10/26/2004 HGUTEMA1 00000022 141270 09441936

01 FC:1201

88.00 DA

AMENDMENT

Dear Sir:

In response to the Office Action mailed October 4, 2004, please amend the claims of the above-captioned patent application to read as indicated below.